

# HOW DERIVATIVES AFFECT THE GRAPH OF A FUNCTION

RECALL:  $f$  INCREASING IF  $f(x_1) < f(x_2)$   
WHEN  $x_1 < x_2$

$f$  DECREASING IF  $f(x_1) > f(x_2)$   
WHEN  $x_1 < x_2$

PROP: IF  $f$  DIFFERENTIABLE ON  $(a, b)$   
AND:

i)  $f'(x) > 0$  FOR ALL  $a < x < b$ , THEN  
 $f$  IS INCREASING

ii)  $f'(x) < 0$  FOR ALL  $a < x < b$ , THEN  
 $f$  IS DECREASING

PROOF: PICK  $a < x_1 < x_2 < b$ , ASSUME i)

$$\text{THEN } \frac{f(x_2) - f(x_1)}{x_2 - x_1} \stackrel{\text{MVT}}{=} f'(c) > 0$$

$$f(x_2) - f(x_1) > 0 \quad f(x_1) < f(x_2)$$

IN GENERAL,  $f$  WILL BE INCREASING ON SOME INTERVALS, DECREASING ON OTHERS

EX 1:  $f(x) = x^3 + 3x - 1$

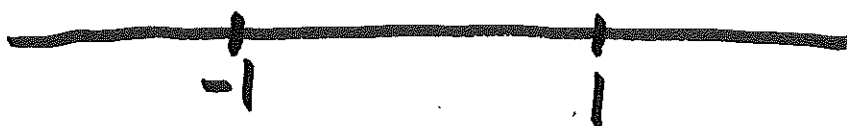
$$f'(x) = 3x^2 + 3 > 0$$

$f$  IS INCREASING

EX 2:  $f(x) = x^3 - 3x - 1$

$$f'(x) = 3x^2 - 3 = 3(x^2 - 1) = 3(x-1)(x+1)$$

$$f'(x) = 0 \text{ AT } x = \pm 1$$

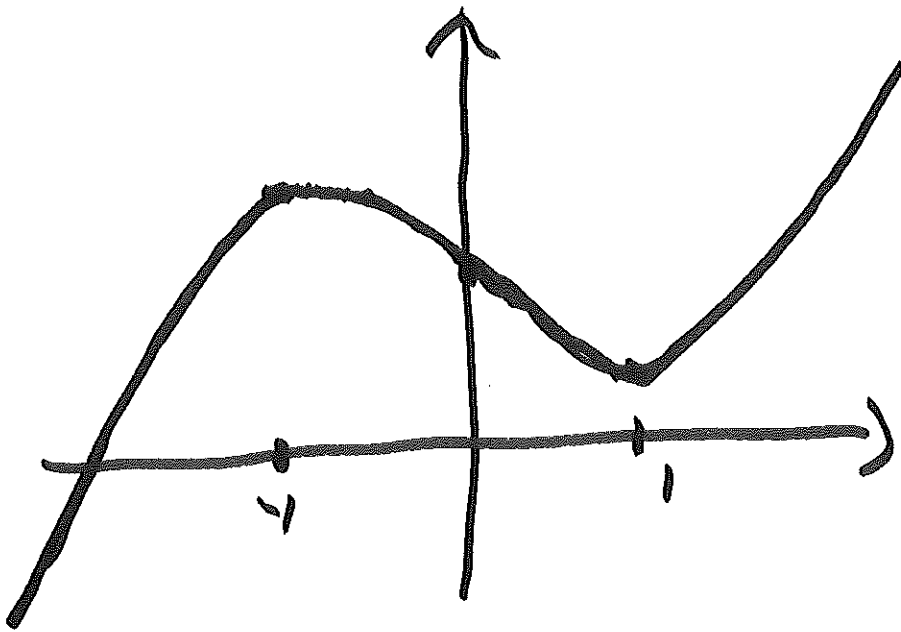


IF  $x < -1$ ,  $f'(x) = 3 \cdot (-) \cdot (-) > 0$

IF  $-1 < x < 1$ ,  $f'(x) = 3 \cdot (-) \cdot (+) < 0$

IF  $x > 1$ ,  $f'(x) = 3 \cdot (+) \cdot (+) > 0$

$f$  INCREASING ON  $(-\infty, -1)$  AND  $(1, \infty)$   
DECREASING ON  $(-1, 1)$



## FIRST DERIVATIVE TEST

ASSUME  $c$  IS A CRITICAL POINT OF  $f$   
THEN:

- i) IF  $f'$  CHANGES SIGNS FROM  $-$  TO  $+$  AT  $c$ , THEN  $c$  IS A LOCAL MINIMUM
- ii) IF  $f'$  CHANGES SIGNS FROM  $+$  TO  $-$  AT  $c$ , THEN  $c$  IS A LOCAL MAXIMUM
- iii) IF  $f'$  DOES NOT CHANGE SIGN, THEN  $c$  IS NEITHER LOCAL MIN NOR LOCAL MAX

EX:  $f(x) = x^3 - 3x - 1$

$f'(x) = 3x^2 - 3$      $x = \pm 1$  CRITICAL POINTS

$x = -1$ :  $f'$  CHANGES FROM  $+$  TO  $-$ , LOCAL MAX

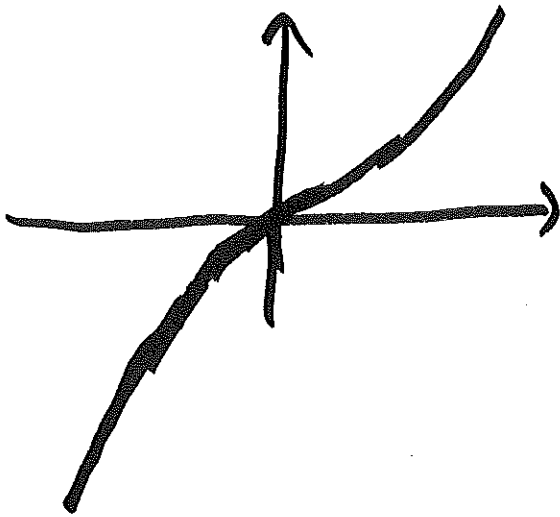
$x=1$ :  $f'$  CHANGES FROM - TO +, LOCAL MIN

EX:  $f(x) = x^3$

$f'(x) = 3x^2$ , CRIT. POINTS  $x=0$

$f'(x) > 0$  WHEN  $x < 0$

$f'(x) > 0$  WHEN  $x > 0$



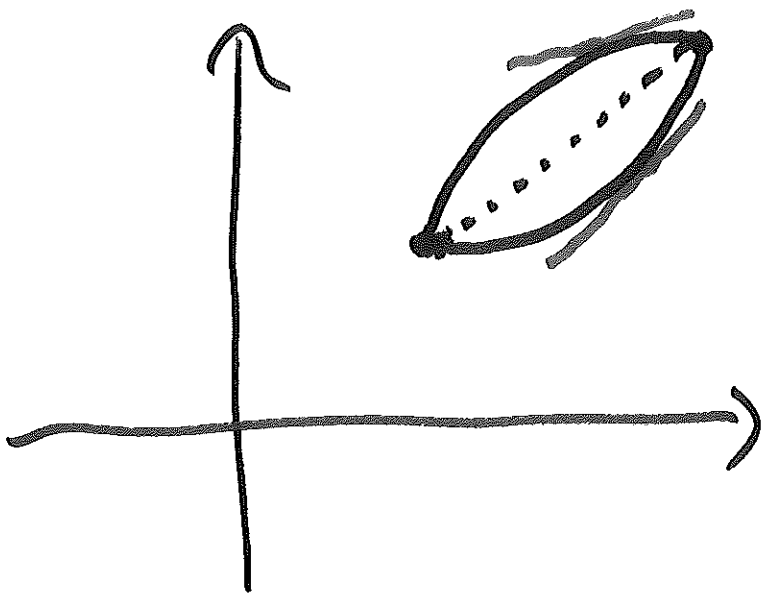
EX:  $f(x) = |x| = \begin{cases} -x, & x < 0 \\ x, & x > 0 \end{cases}$

$f'(x) = \begin{cases} -1, & x < 0 \\ 1, & x > 0 \end{cases}$ ,  $f'(0)$  DNE

0 CRITICAL POINT

$f'$  CHANGES FROM - TO + AT 0

$x=0$  IS A LOCAL MINIMUM

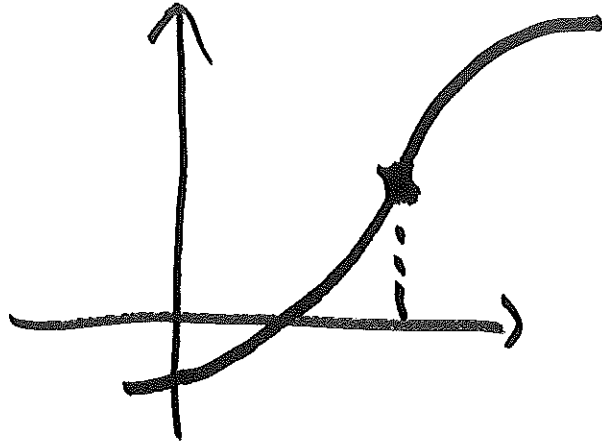


DEF:  $f$  IS CONCAVE UPWARDS IF THE GRAPH OF  $f$  LIES ABOVE ALL ITS TANGENTS

$f$  IS CONCAVE DOWNWARDS IF THE GRAPH OF  $f$  LIES BELOW ALL ITS TANGENTS

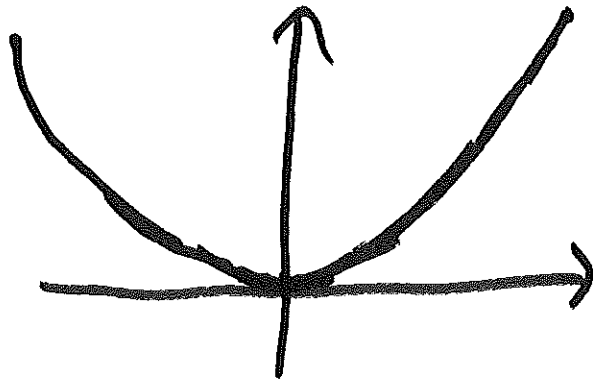
LEMMA: IF  $f''(x) > 0$  ON  $(a, b)$  THEN  $f$  IS CONCAVE UPWARDS ON  $(a, b)$   
IF  $f''(x) < 0$  ON  $(a, b)$  THEN  $f$  IS CONCAVE DOWNWARDS ON  $(a, b)$

DEF:  $(c, f(c))$  IS AN INFLECTION POINT  
IF  $f$  IS CONTINUOUS AT  $c$ , AND  $f$  CHANGES  
CONCAVITY THERE



LEMMA: IF  $c$  IS AN INFLECTION POINT,  
THEN  $f''(c) = 0$  (OR DNE)

EX:  $f(x) = x^2$     $f'(x) = 2x$ ,  $f''(x) = 2 > 0$   
CONCAVE UPWARDS



EX:  $f(x) = x^3 - 3x - 1$     $f'(x) = 3x^2 - 3$   
 $f''(x) = 6x$

INFLECTION POINT  $x=0$

IF  $x < 0$ ,  $f''(x) < 0$ , CONCAVE DOWN

IF  $x > 0$ ,  $f''(x) > 0$ , CONCAVE UP

## SECOND DERIVATIVE TEST

IF  $f'(c) = 0$ ,  $f''$  CONTINUOUS NEAR  $c$

i)  $f''(c) > 0$ , LOCAL MIN.

ii)  $f''(c) < 0$ , LOCAL MAX.

iii)  $f''(c) = 0$ , ??? DON'T KNOW

EX:  $f(x) = x^3 - 3x - 1$

$f'(x) = 3x^2 - 3$ ,  $x = \pm 1$  CRIT. POINTS

$f''(x) = 6x$

$f''(-1) = -6 < 0$  LOCAL MAX.

$f''(1) = 6 > 0$  LOCAL MIN.