## **1.3** Curvature and Plane Curves

We want to be able to associate to a curve a function that measures how much the curve bends at each point.

Let  $\alpha: (a, b) \to \mathbf{R}^2$  be a curve parameterized by arclength. Now, in the Euclidean plane any three non-collinear points lie on a unique circle, centered at the orthocenter of the triangle defined by the three points.

For  $s \in (a, b)$  choose  $s_1, s_2$ , and  $s_3$  near s so that  $\alpha(s_1), \alpha(s_2)$ , and  $\alpha(s_3)$  are noncollinear. This is possible as long as  $\alpha$  is not linear near  $\alpha(s)$ . Let  $C = C(s_1, s_2, s_3)$ be the center of the circle through  $\alpha(s_1), \alpha(s_2)$ , and  $\alpha(s_3)$ . The radius of this circle is approximately  $|\alpha(s) - C|$ . A better function to consider is the square of the radius:

$$\rho(s) = (\alpha(s) - C) \cdot (\alpha(s) - C).$$

Since  $\alpha$  is smooth, so is  $\rho$ . Now,  $\alpha(s_1)$ ,  $\alpha(s_2)$ , and  $\alpha(s_3)$  lie on the circle so  $\rho(s_1) = \rho(s_2) = \rho(s_3)$ . By Rolle's Theorem there are points  $t_1 \in (s_1, s_2)$  and  $t_2 \in (s_2, s_3)$  so that  $\rho'(t_1) = \rho'(t_2) = 0$ . Then, using Rolle's Theorem again on these points, there is a point  $u \in (t_1, t_2)$  so that  $\rho''(u) = 0$ . Using Leibnitz' Rule we have  $\rho'(s) = 2\alpha'(s) \cdot (\alpha(s) - C)$  and

$$\rho''(s) = 2[\alpha''(s) \cdot (\alpha(s) - C) + \alpha'(s) \cdot \alpha'(s)].$$

Since  $\rho''(u) = 0$ , we get

$$\alpha''(u) \cdot (\alpha(u) - C) = -\alpha'(s) \cdot \alpha(s) = -1.$$

Now, as  $s_1$ ,  $s_2$ , and  $s_3$  get closer to s, then the center of the circles will converge to a value  $C_{\alpha}(s)$ . Then  $t_1$  and  $t_2$  go to s, so  $\rho'(s) = 0$  which forces  $\alpha'(s) \cdot (\alpha(s) - C_{\alpha}(s)) = 0$ . Furthermore,  $\alpha''(s) \cdot (\alpha(s) - C_{\alpha}(s)) = -1$ .

This says that the circle centered at  $C_{\alpha}(s)$  with radius  $\alpha(s) - C_{\alpha}(s)$  shares the point  $\alpha(s)$  with the curve  $\alpha$ . Furthermore, from the above the tangent to the circle at  $\alpha(s)$  is a multiple of  $\alpha'(s)$ . Thus, this circle, called the **osculating circle**, is tangent to the curve at  $\alpha(s)$ . The point  $C_{\alpha}(s)$  is called the **center of curvature** of  $\alpha$  at s, and the curve given by the function  $C_{\alpha}(s)$  is called the **curve of centers of curvature**.

**Definition 1.5** The (unsigned) **plane curvature** of  $\alpha$  at s is the reciprocal of the radius of the osculating circle:

$$\kappa_{\pm}(s) = \frac{1}{|\alpha(s) - C_{\alpha}s|}.$$

**Theorem 1.4**  $\kappa_{\pm}(s) = |\alpha''(s)|.$ 

PROOF: Since  $\alpha'(s) \cdot \alpha'(s) = 1$ , differentiating gives  $\alpha'(s) \cdot \alpha''(s) = 0$ . This means that  $\alpha''(s)$  is perpendicular to  $\alpha'(s)$ . Since we have seen that  $\alpha(s) - C_{\alpha}(s)$  is also perpendicular to  $\alpha'(s)$ , there exists a  $k \in \mathbf{R}$  so that

$$\alpha(s) - C_{\alpha}(s) = k\alpha''(s).$$



Figure 1.1: Tractrix curve

From above we have

$$-1 = \alpha''(s) \cdot (\alpha(s) - C_{\alpha}(s))$$
$$= \alpha''(s) \cdot k\alpha''(s)$$
$$= k|\alpha''(s)|^{2}.$$

Thus,

$$|\alpha(s) - C_{\alpha}(s)| = |k| |\alpha''(s)| = \frac{1}{|\alpha''(s)|^2} |\alpha''(s)| = \frac{1}{|\alpha''(s)|}$$

We rarely can symbolically represent a curve as parameterized by arclength. Quite often, a different parameterization is more reasonable. To find the curvature, though, would require that we parameterize by arclength and then differentiate. There is an easier way.

**Theorem 1.5** The plane curvature of a regular plane curve  $\sigma(t) = (x(t), y(t))$  is given by

$$\kappa_{\pm}(t) = \left| \frac{x''y' - y''x'}{((x')^2 + (y')^2)^{3/2}} \right|.$$

## 1.3.1 Tractrix

Describe the curve followed by a weight being dragged on the end of a fixed straight length and the other end moves along a fixed straight line. The tractrix is the curve characterized by the condition that the length of the segment of the tangent line to the curve from the curve to the y-axis is constant. It has the following equation for a given constant a:

$$x = a \ln(\frac{a + \sqrt{a^2 - y^2}}{y}) - \sqrt{a^2 - y^2}.$$

and has graph shown in Figure 1.1.

Let the curve begin at (a, 0) on the x-axis. Now, we can see that

$$\frac{y'}{x'} = \frac{dy}{dx} = \frac{\sqrt{a^2 - x^2}}{x}.$$
 (1.1)

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Square both sides of the equation and simplify

$$(x')^{2} + (y')^{2} = \left(\frac{a}{x}\right)^{2} (x')^{2}.$$
(1.2)

Now, if we differentiate the first equation (1.1), we get

$$\frac{x'y'' - x''y'}{(x')^2} = \frac{-a^2x'}{x^2\sqrt{z^2 - x^2}}$$
(1.3)

$$x''y' - x'y'' = \frac{a^2(x')^3}{x^2\sqrt{a^2 - x^2}}.$$
(1.4)

Thus,

$$\kappa_{\pm}(x,y) = \left| \frac{-a^2 x'}{x^2 \sqrt{z^2 - x^2}} \frac{x^3}{a^3 (x')^3} \right| = \left| \frac{x}{a \sqrt{a^2 - x^2}} \right|.$$
 (1.5)

Of course, we can integrate Equation 1.1 to get

$$y(x) = \int \frac{\sqrt{a^2 - x^2}}{x} dx$$
 (1.6)

A change of variables of the form  $x = a \sin(t)$  gives:

 $\sigma(t) = (a\sin(t), a\ln(\tan(t/2)) + a\cos(t)),$ 

which gives the plane curvature as  $\kappa_{\pm}(t) = \left| \frac{\tan(t)}{a} \right|.$ 

Also, to parameterize the tractrix by arclength, we need  $(x')^2 + (y')^2 = 1$ , thus  $\left(\frac{a}{x}\right)^2 (x')^2 = 1$ , which gives  $x' = \pm \frac{1}{a}x$ . Let's take a = 1 and consider just the case x' = x. Then,  $x(s) = e^s$  from which it follows that

$$\frac{dy}{ds} = \frac{\sqrt{1-x^2}}{x}\frac{dx}{ds} = \sqrt{1-e^{2s}}$$
$$y(x) = \sqrt{1-e^{2s}} - \operatorname{arccosh}(e^{-s}).$$

This requires that  $0 \le e^2 s \le 1$ . Take the curve traced out in the opposite direction by replacing s by -s. The parameterization is now:

$$\sigma(s) = (e^{-s}, \sqrt{1 - e^{-2s}} - \operatorname{arccosh}(e^s)), \quad s \ge 0.$$

For a = 1 we have the plane curvature:

$$\kappa_{\pm}(s) = |\sigma''(s)| = \frac{e^{-s}}{\sqrt{1 - e^{-2s}}}$$

Let  $\alpha: (a, b) \to \mathbf{R}^2$  be a curve. The **reverse curve** is  $\hat{\alpha}: (a, b) \to \mathbf{R}^2$  is given by  $\hat{\alpha}(t) = \alpha(b-t)$ . We wish to distinguish between these two curves.

**Definition 1.6** Let  $\mathbf{e}_1, \mathbf{e}_2$  denote the standard basis vectors in  $\mathbf{R}^2$ . An ordered pair of vectors  $[\mathbf{u}, \mathbf{v}], \mathbf{u}, \mathbf{v} \in \mathbf{R}^2$  is said to be in **standard orientation** if the matrix representing the transformation from  $[\mathbf{u}, \mathbf{v}]$  to  $[\mathbf{e}_1, \mathbf{e}_2]$  has a positive determinant.

If  $\alpha(s)$  is a regular curve parameterized by arclength, then the unit tangent vector is  $\mathbf{T}(s) = \alpha'(s)$ . Let  $\mathbf{N}(s)$  denote the unique unit vector perpendicular to  $\mathbf{T}(s)$  with standard orientation  $[\mathbf{T}(s), \mathbf{N}(s)]$ .  $\mathbf{N}(s)$  is the **unit normal vector** to  $\alpha$  at s. Since  $\mathbf{T}(s)$  is a unit vector, we see that  $\mathbf{T}(s) \cdot \mathbf{T}'(s) = 0$ . Thus,  $\alpha''(s) = \mathbf{T}'(s)$  must be a multiple of  $\mathbf{N}(s)$ .

**Definition 1.7** The **directed curvature**  $\kappa(s)$  of a unit-speed curve  $\alpha$  is given by the identity

$$\alpha''(s) = \kappa(s)\mathbf{N}(s).$$

Note that since  $\mathbf{N}(s)$  is a unit vector, we see that  $|\kappa(s)| = |\alpha(s)| = \kappa_{\pm}(s)$ .

**Theorem 1.6 (Fundamental Theorem for Plane Curves)** Given any continuous function  $\kappa: (a, b) \to \mathbf{R}$ , there is a curve  $\sigma: (a, b) \to \mathbf{R}^2$ , which is parameterized by arclength, such that  $\kappa(s)$  is the directed curvature of  $\sigma$  at s for all  $s \in (a, b)$ . Furthermore, any other curve  $\bar{\sigma}: (a, b) \to \mathbf{R}^2$  satisfying these conditions differs from  $\sigma$  by a rotation followed by a translation.

The proof of this is a very neat, simple proof which uses differential equations.

PROOF: From the theorem, we have a function  $f: (a, b) \to \mathbf{R}^2$  written as  $f(s) = (f_1(s), f_2(s))$  satisfying the following system of differential equations:

$$(f'_1(s), f'_2(s)) = \kappa(s)(-f_2(s), f_1(s)),$$
  
subject to  $f(c) = \mathbf{u}$  and  $|\mathbf{u}| = 1$ 

Note that if f is a solution to this differential equation, then it is a unit-speed curve because

$$\frac{d}{ds}(f_1^2(s) + f_2^2(s)) = 2f_1(s)f_1'(s) + 2f_2(s)f_2'(s)$$
  
= 2(f\_1(s), f\_2(s)) \cdot (f\_1'(s), f\_2'(s))  
= 2\kappa(s)(f\_1(s), f\_2(s)) \cdot (-f\_2(s), f\_1(s)) = 0

Thus, |f(s)| is a constant and since |f(c)| = 1, |f(s)| = 1 for all  $s \in (a, b)$ .

**Lemma 1.1** If  $\mathfrak{g}(t)$  is a continuous  $(n \times n)$ -matrix-valued function on an interval, then there exist solutions,  $F: (a, b) \to \mathbf{R}^n$ , to the differential equation  $F'(t) = \mathfrak{g}(t)F(t)$ .

Applying this lemma, we have a function  $\mathfrak{g}(s)$  given by

$$\mathfrak{g}(s) = \begin{pmatrix} 0 & -\kappa(s) \\ \kappa(s) & 0 \end{pmatrix}$$

The equation  $\mathbf{T}'(s) = \kappa(s)\mathbf{N}(s)$  becomes  $\mathbf{T}'(s) = \mathfrak{g}(s)\mathbf{T}(s)$ . Thus, the above lemma gives us the function  $\mathbf{T}(s)$  for the curve  $\sigma(s)$  with the correct curvature. To find the curve  $\sigma(s)$  we only need to integrate  $\mathbf{T}(s)$ . We can choose  $\sigma(c)$  to be any point in  $\mathbf{R}^2$  and we can choose  $\mathbf{u}$ to be any unit vector in  $\mathbf{R}^2$ . Changing  $\mathbf{u}$  at  $\sigma(c)$  involves a rotation. That rotation passes through the differential equation so that another solution would appear as  $\overline{\mathbf{T}}(s) = \rho_{\theta}\mathbf{T}(s)$ , where  $\rho_{\theta}$  is a rotation matrix. A translation resets the point  $\sigma(c)$  to be any point in  $\mathbf{R}^2$ . Thus a second solution  $\overline{\sigma}(s)$  must satisfy

$$\overline{\sigma}(s) = \rho_{\theta}\sigma(s) + \omega_0.$$

This proves the theorem.