

**FREENESS AND DISCRETENESS OF ACTIONS ON \mathbf{R} -TREES
BY FINITELY GENERATED FREE GROUPS, III**

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Abstract

Suppose $G = F(x, y, z)$ is the free group generated by x, y and z , $G' = F(x, y)$, $G'' = F(z)$ are subgroups of G . G acts on an \mathbf{R} -tree T minimally with T', T'' be the minimal invariant subtrees of G', G'' respectively, $T_0 = T' \cap T''$. Assume Σ' is the set of partial isometries on T_0 generated by elements of G' .

We prove that the action $T \times G \rightarrow T$ is discrete provided it is free if the following condition is satisfied: For any $\sigma, \tau \in \Sigma'$, if there is an integer m such that $\text{Domain}(\sigma)z^m \cap \text{Domain}(\tau) \neq \emptyset$, then one of the following is true: (a) $\text{Domain}(\sigma) = \text{Domain}(\tau)$; (b) One of $\text{Domain}(\sigma)$, $\text{Domain}(\tau)$ consists of a single point which is an endpoint of the other.

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0. Introduction

In Part 1 and 2, we investigated minimal actions of a finitely generated free group G on an \mathbf{R} -tree T . We studied the following property for such actions:

Property (DF): The action is discrete provided that it is free.

As we learn from part 1 that there is an example of a minimal action of the free group of rank 3 on an \mathbf{R} -tree which is free and indiscrete (Bestvina-Handel), therefore Property (DF) is not true in general. In order for the data to be sufficient for our study, in Part 1 we introduced condition **A**, **A'** and **B** (Part 1, page 8, 9, 14).

In the first two parts, we decomposed G as a free product of free groups G' and G'' of smaller rank, we worked on the intersection T_0 of T' and T'' , where T' and T'' are the minimal invariant subtrees of G', G'' respectively, and we translated the problems of the freeness and discreteness of the action $T \times G \rightarrow T$ to the problems of the partial action of Σ on T_0 under Condition **A**, where Σ is the set of partial isometries on T_0 defined by elements of G (see Proposition 4.2 and 4.3 of Part 1). We showed that an action satisfies Property (DF) if Condition **A** (**A'**) and **B** are satisfied (Theorem

4.11 of Part 1).

In Part 3, we continue the study of Property (DF) for the action of G on T . We provide another approach to see what actions satisfy this property. We assume Condition **A** and the freeness of the action $T \times G \rightarrow T$, prove, in some certain cases, that the action is discrete. The idea is to project the partial isometries on T_0 in Σ to partial isometries on one side quotient space, say on $Q' = T'/G'$. All such obtained partial isometries on Q' generate a pseudo group P' , we prove that the action $T \times G \rightarrow T$ is discrete if and only if the partial action of P' on Q' has no infinite orbit (see Theorem 2.5).

Section 1 contains preliminary materials including the notation. Section 2 is devoted to the main theorems of this paper along with the proofs. In Section 3 and 4, we provide examples which are applications of the theorems in Section 2.

1. Preliminary

Throughout this paper, G always represents a finitely generated free group and T always stands for an **R**-tree. We use $T \times G \rightarrow T$ for the action of G on T , and $u \cdot g$ for the image of the pair (u, g) under the action, where $u \in T$ and $g \in G$.

We always assume, without mention everywhere, that Condition **A** is satisfied. Without loss of the generality, as in part 1 we make the following:

Assumption 1: The actions $T' \times G' \rightarrow T'$ and $T'' \times G'' \rightarrow T''$ are free and discrete.

Assumption 2: $T_0 \neq \emptyset$.

Assumption 3: $|T_0| < \infty$.

Assume $p: X \rightarrow Y$ is a map, S is a subset of X , we use $p|_S$ for the map p restricted on S , and $(S)^\circ$ for the interior of S with respect to X . When S is the union of a family of **R**-trees or **R**-graphs, we denote by $Y(S)$ ($E(S)$ resp.) the set of branch points (end points resp.) of connected components of S .

An **alternating word** (with respect to G' and G'') is an ordered family $\{a_1, a_2, \dots, a_n\}$ of elements of $G' \cup G'' - \{1\}$, such that $a_{2k} \in G'' - \{1\}$, $a_{2k+1} \in G' - \{1\}$ or $a_{2k} \in G' - \{1\}$, $a_{2k+1} \in G'' - \{1\}$ for all k . We allow the empty word to be an alternating word. For every element $g \in G$, there is a unique alternating word $\{a_1, a_2, \dots, a_n\}$ such that g is the product of a_i 's, i.e. $g = a_1 a_2 \cdots a_n$. ($g = 1$ if and only if the corresponding word is empty.) Call this word as the **alternating word of g** (in elements of G' and G''), call n as the (alternating) **word length** of g

and denote it by $L(g)$. Set $g_b = a_1, g_e = a_n$ and

$$g_i = \begin{cases} 1, & \text{if } i = 0; \\ a_1 \cdots a_i, & \text{if } i \leq n \text{ and } i > 0; \\ g, & \text{if } i > n. \end{cases}$$

Every element $g \in G$ induces an isometry from $T_0 \cdot g^{-1} \cap T_0$ to $T_0 \cap T_0 \cdot g$, we denote this partial isometry of T_0 by σ_g , denote its domain and range by D_g and R_g respectively, which are closed subtrees of T_0 .

Let

$$\Sigma' = \{\sigma_g | g \in G', D_g \neq \emptyset\}$$

$$\Sigma'' = \{\sigma_g | g \in G'', D_g \neq \emptyset\}$$

$$\Sigma = \{\sigma_g | g \in G, D_g \neq \emptyset\}$$

Σ acts from the right on T_0 , with the product of elements of Σ being the composition of them in the usual sense, if this composition exists and is an elements of Σ . Notice that the identity map of T_0 is included in Σ .

Assume $\phi': T' \rightarrow Q' = T'/G'$ is the quotient map. To simplify the notation, for every subset X of T_0 , we denote $\phi'(X)$ by \overline{X} .

Suppose $g \in G'' - \{1\}$ and $D_g \neq \emptyset$, if D_g and R_g are embedded into Q' by ϕ' , then σ_g induces a partial isometry of Q' from $\overline{D_g} = \phi'(D_g)$ to $\overline{R_g} = \phi'(R_g)$ denoted by $\overline{\sigma}_g$ such that

$$(1.1) \quad \phi'(u \cdot g) = (\phi'(u))\overline{\sigma}_g$$

for every point $u \in D_g$, (where $(\phi'(u))\overline{\sigma}_g$ is the image of $\phi'(u)$ under $\overline{\sigma}_g$).

In general, divide D_g and R_g into finitely many closed subtrees (of finite total measure) with disjoint interiors:

$$D_g = \bigcup_{i \in I_g} D_g^i \quad R_g = \bigcup_{i \in I_g} R_g^i$$

where I_g is a finite index set, such that for every i , $D_g^i \cdot g = R_g^i$ and D_g^i, R_g^i are embedded into Q' by ϕ' . Write $\overline{D_g^i} = \phi'(D_g^i)$ and $\overline{R_g^i} = \phi'(R_g^i)$. σ_g induces a homeomorphism from $\overline{D_g^i}$ to $\overline{R_g^i}$ denoted by $\overline{\sigma}_g^i$, satisfying (1.1) with $\overline{\sigma}_g^i$ replacing $\overline{\sigma}_g$ for every point $u \in D_g^i$.

Set

$$\overline{\Sigma}' = \{\overline{\sigma}_g^i | g \in G'' - \{1\}, i \in I_g, D_g \neq \emptyset\}$$

Denote the pseudo-group of partial isometries generated by elements of $\overline{\Sigma}'$ by \overline{P}' . It is clear that $\#\overline{\Sigma}' < \infty$, so \overline{P}' is finitely generated.

A **word in elements of $\overline{\Sigma}'$** is an ordered family $\{\sigma_1, \sigma_2, \dots, \sigma_n\}$ of elements of $\overline{\Sigma}' - \{id\}$ written in the form of a production $\sigma_1 \cdot \sigma_2 \cdots \sigma_n$. If the word really involves some letters, it is said to be a **nonempty word**, 1 is the **empty word**. Suppose $\sigma \in \overline{\Sigma}'$, if $g \in G''$ be such that $\sigma = \overline{\sigma}_g^i$ for some $i \in I_g$, then g is called a **lift** of σ . Suppose $w = \sigma_1 \cdot \sigma_2 \cdots \sigma_n$ is a word in elements of $\overline{\Sigma}'$, g_1, g_2, \dots, g_n are elements of G'' , if g_i is a lift of σ_i for $i \leq n$, then $g_1 g_2 \cdots g_n$ is called a lift of w . If the action $T \times G \rightarrow T$ is free, every word in elements of $\overline{\Sigma}'$ has a unique lift. A nonempty word is **reduced** if no letter involved is followed by its inverse in the word. We see that every word $w = \sigma_1 \cdot \sigma_2 \cdots \sigma_n$ corresponds a partial isometry of Q , which is the composition $\sigma_1 \sigma_2 \cdots \sigma_n$ if it exists or a map with empty domain, we denote this partial isometry by σ_w . When we say that a word w fixes a point u , we mean that σ_w is defined at u and fixes it. we denote by $D(w)$ and $R(w)$ the domain and the range of σ_w .

2. Main theorems

Set $\hat{S} = \{(u, g) | u \in T_0, g \in G \text{ and } u \cdot g_i \in T_0, \forall i \geq 0\}$.

Lemma 2.1: (a) If $u \in T_0, g \in G$ are such that $(u, g) \in \hat{S}$, then there is an element σ of \overline{P}' lifting to g , such that $\overline{u \cdot g} = (\overline{u})\sigma$.

(b) Suppose $w = \overline{\sigma}_{g_1}^{i_1} \cdot \overline{\sigma}_{g_2}^{i_2} \cdots \overline{\sigma}_{g_n}^{i_n}$ is a reduced word in elements of $\overline{\Sigma}'$, $u \in D(w)$. Assume $v \in T_0$ be such that $\overline{v} = u$, then there is a unique set of elements $\{s_1, s_2, \dots, s_n\} \subset G'$ such that if $h_j = s_1 g_1 s_2 g_2 \cdots s_j g_j$ and $w_j = \overline{\sigma}_{g_1}^{i_1} \cdots \overline{\sigma}_{g_j}^{i_j}$ for $j \leq n$, then $(v, h_n) \in \hat{S}$ and $v \cdot h_{j-1} s_j \in D_{g_j}^{i_j}$, $\overline{v \cdot h_j} = (u)\sigma_{w_j}$ for each j .

(c) If $u \in Q', v \in T_0$ such that $\overline{v} = u$, then $\overline{\{v \cdot g | (v, g) \in \hat{S}\}} = (u)P'$.

Proof: (a) Assume $g = a_1 a_2 \cdots a_n$ is the alternating word of g . For each $i \leq n$ both $u \cdot g_{i-1}$ and $u \cdot g_i$ belong to T_0 , so either $a_i \in G'$ and then $\overline{u \cdot g_{i-1}} = \overline{u \cdot g_i}$, or $a_i \in G'', u \cdot g_{i-1} \in D_{a_i}$ and $\overline{u \cdot g_i} = (\overline{u \cdot g_{i-1}})\overline{\sigma}_{a_i}^j$ for some $j \in I_{a_i}$. By induction on n , the existence of σ is clear.

(b) We prove by induction on n . Assume there are uniquely $s_1, s_2, s_{n-1} \in G'$ such that $\overline{v \cdot h_j} = (u)\sigma_{w_j}$ and $(v, h_{n-1}) \in \hat{S}$. Because $\overline{v \cdot h_{n-1}} \in \overline{D_{g_n}^{i_n}}$ and $D_{g_n}^{i_n}$ is embedded into Q' , there is a unique $s_n \in G'$, such that $v \cdot h_{n-1} s_n \in D_{g_n}^{i_n}$, then $(u)\sigma_w = (\sigma_{w_{n-1}}(u))\overline{\sigma}_{g_n}^{i_n} = (\overline{v \cdot h_{n-1}})\overline{\sigma}_{g_n}^{i_n} = \overline{v \cdot h_{n-1} s_n g_n} = \overline{v \cdot h_n}$. Since $v \cdot h_{n-1} s_n \in D_{g_n}^{i_n} \subset T_0$ and $v \cdot h_n \in R_{g_n}^{i_n} \subset T_0$, $(v, h_n) \in \hat{S}$.

(c) This is a direct consequence of (a) and (b). Note that for every element $\sigma \in \overline{P}'$, there is at least one word w in elements of $\overline{\Sigma}'$, such that $\sigma_w = \sigma$. \diamond

Corollary 2.2: $\overline{B}_0 = (\overline{Y}_0)\overline{P}'$

Proof: Suppose $u \in \overline{B}_0$, then there are $v \in Y_0, g \in G$ such that $(v, g) \in \hat{S}$ and $\overline{v \cdot g} = u$. By Lemma 2.1 (a), there is an element σ of \overline{P}' such that $(\overline{v})\sigma = \overline{v \cdot g} = u$, so $u \in (\overline{Y}_0)\overline{P}'$.

Assume $u \in (\overline{Y_0})\overline{P'}$, then there is a point $v \in Y_0$, an element $\sigma_w \in \overline{P'}$, where w is a reduced word in elements of $\overline{\Sigma'}$, such that $u = (\overline{v})\sigma_w$. By Lemma 2.1 (b), there is an element $h \in G$ such that $(v, h) \in S$ and $u = (\overline{v})\sigma_w = \overline{v \cdot h}$. We see that $v \cdot h \in B_0$ and $u \in \overline{B_0}$. \diamond

Lemma 2.3: *If the action $T \times G \rightarrow T$ is not free then $\overline{P'}$ has a fixed point in $\overline{Y_0}$.*

Proof: Suppose the action is not free, by Propositions 3.1, there is a point $u \in Y_0$, an element $\sigma_g \in \Sigma$ with $g = a_1 a_2 \cdots a_n$ be an alternating word in elements of $G' - \{1\}$ and $G'' - \{1\}$ such that $(u)\sigma_g = u$, i.e. $u \cdot a_1 a_2 \cdots a_n = u$ and $u \cdot a_1 a_2 \cdots a_i \in T_0$ for $i \leq n$. We may assume that $a_1, a_3 \dots \in G'$ and $a_2, a_4 \dots \in G''$, it is easy to see that $\overline{\sigma_{a_2} \sigma_{a_4} \cdots \sigma_{a_{2k}}} \in \overline{P'}$ fixes $\overline{u} \in \overline{Y_0}$, where k is the greatest integer such that $2k \leq n$. \diamond

Lemma 2.4: *Assume Condition A is true and the action $T \times G \rightarrow T$ is free, then*

$\#(\overline{Y_0})\overline{P'} < \infty$ if and only if the action $T \times G \rightarrow T$ is discrete.

Proof: If $\#(\overline{Y_0})\overline{P'} = \#\overline{B_0} < \infty$, then there are only finitely many G' -orbits which intersect B_0 . Because the intersection of each G' -orbit and B_0 can have only finitely many points, we get $\#B_0 < \infty$. From Theorem 4.8 of Part 1, we see that Condition B is satisfied, so according to Theorem 4.11 of Part 1, the action $T \times G \rightarrow T$ is discrete. On the other hand, if $\#\overline{B_0} = \#(\overline{Y_0})\overline{P'} = \infty$, then $\#B_0 = \infty$, by the proof of Proposition 4.3 (a) of Part 1, $\#F(u) = \infty$ for some point $u \in Y_0$, as a consequence, the action $T \times G \rightarrow T$ is not discrete. \diamond

Theorem 2.5: *Assume Condition A is true and the action $T \times G \rightarrow T$ is free, then the action $T \times G \rightarrow T$ is discrete if and only if $\#(u)\overline{P'} < \infty$ for every point $u \in \overline{Y_0}$, if and only if this is true for every point $u \in Q'$.*

Proof: Assume $\#(u)\overline{P'} < \infty$ for every $u \in \overline{Y_0} \subset Q'$, then $\#(\overline{Y_0})\overline{P'} < \infty$ since $\#Y_0 < \infty$, therefore the action $T \times G \rightarrow T$ is discrete by Lemma 2.4. Suppose there is a point $u \in Q'$ such that $\#(u)\overline{P'} = \infty$, then clearly $u \in \overline{T_0}$. Suppose $v \in T_0$ be a preimage of u under ϕ' , by Lemma 2.1 (c), $\{v \cdot g | (v, g) \in \hat{S}\} = (u)\overline{P'}$. So if $\#(u)\overline{P'} = \infty$, $\{v \cdot g | (v, g) \in \hat{S}\}$ is an indiscrete set. Then it is easy to see that $\{v\} \cdot G$ is also indiscrete, therefore the action $T \times G \rightarrow T$ is not discrete. \diamond

3. Examples in general cases

Suppose the action $T \times G \rightarrow T$ is free. Assume that $w = \overline{\sigma_{g_1}^{i_1} \cdot \sigma_{g_2}^{i_2} \cdots \sigma_{g_n}^{i_n}}$ is a word in elements of $\overline{\Sigma'}$ with $D(w) \neq \emptyset$, define $P(w)$ to be the following property of a point $v \in \phi'^{-1}(D(w))$: $\overline{v \cdot h} = (\overline{v})\sigma_w$, where h is the lift of w . Suppose v satisfies $P(w)$ and $u = \overline{v} \in D(w)$, if the set $\{s_1, s_2, \dots, s_n\} \subset G'$ is given by Lemma 2.1 (b), then we have $s_j = 1$ for every $j \geq 2$.

A nonempty word w in elements of $\overline{\Sigma'}$ is called a **trivial word**, if $D(w) \neq \emptyset$, 1 is a lift of w and there is a point of $\phi'^{-1}(D(w))$ satisfying the property $P(w)$. If a word w is trivial, then σ_w fixes a point in its domain.

From now on we assume that for every $g \in G''$, D_g and R_g are embedded into Q' by ϕ' , then $\overline{\Sigma}' = \{\sigma_g | g \in G'', D_g \neq \emptyset\}$.

Assume that there is a subset Σ_0 of $\overline{\Sigma}'$ satisfying the following properties:

(a) Elements of Σ_0 generate \overline{P}' , i.e. for every $\sigma \in \overline{P}'$, there is a word w in elements of $\Sigma_0 \cup (\Sigma_0)^{-1}$, where $(\Sigma_0)^{-1} = \{\sigma | \sigma^{-1} \in \Sigma_0\}$, such that σ is σ_w limited on a subset of its domain.

(b) No proper subset of Σ_0 generates \overline{P}' .

Then Σ_0 is called a **minimal generating subset of $\overline{\Sigma}'$** .

Lemma 3.1: *Suppose Σ_0 is a generating subset of $\overline{\Sigma}'$, $w = \tau_1 \cdot \tau_2 \cdots \tau_n$ is a nonempty reduced word in elements of \mathcal{K} , where $\mathcal{K} = \{\sigma | \sigma \in \Sigma_0 \text{ or } \sigma^{-1} \in \Sigma_0\}$, $u \in D(w)$ and u is fixed by σ_w , then for $i = 1, 2, \dots, n$, we have $D(\tau_i)$ is nondegenerate.*

Proof: Suppose there is a $j \leq n$ such that $D(\tau_j)$ consists of a single point v , then the domain of $\sigma_w = \{u\}$, so σ_w is the identity map on the set $\{u\}$. If there is a $k \neq j$ such that $\tau_j = \tau_k$, we may assume that $j < k$ and $\tau_i \neq \tau_j$ if $j < i < k$, then the subword $w_0 = \tau_j \cdot \tau_{j+1} \cdots \tau_{k-1}$ fixes the point v . Taking w_0 instead of w , we may assume that $\tau_i \neq \tau_j$ if $i \neq j$. By a similar argument, we may also assume that $\tau_i \neq (\tau_j)^{-1}$ for $i \leq n$. Because $D(\tau_j)$ and $R(\tau_j)$ both consists of one point, we have $\tau_j = \sigma_{w'}|_{\{v\}}$ here $w' = \tau_{j-1}^{-1} \tau_{j-2}^{-1} \cdots \tau_1^{-1} \tau_n^{-1} \cdots \tau_{j+1}^{-1}$. But Σ_0 is a minimal generating subset of $\overline{\Sigma}'$, this is impossible. \diamond

A minimal generating subset Σ_r of $\overline{\Sigma}'$ is called a **reduced generating subset** if every reduced word w in elements of Σ_r is nontrivial.

Assume the action $T \times G \rightarrow T$ is free, then every element of $\overline{\Sigma}'$ has a unique lift. Therefore, every word in elements of $\overline{\Sigma}'$ has a unique lift. Suppose x_1, x_2, \dots, x_n is a set of free basis of G'' , w is a word in elements of $\overline{\Sigma}'$, define $e_i(w)$ to be the total sum of the exponents of x_i in the lift of w .

The functions e_i is additive, i.e. $e_i(w_1 w_2) = e_i(w_1) + e_i(w_2)$ for every pair of words w_1, w_2 in elements of $\overline{\Sigma}'$.

Example 3.2: Assume that the action $T \times G \rightarrow T$ is free. Suppose there is a minimal generating subset Σ_0 of $\overline{\Sigma}'$ such that there is at most one element $\tau \in \Sigma_0$ satisfying that $e_i(\tau) = 0$ for all i , then there exists a reduced generating subset of $\overline{\Sigma}'$.

Proof: Notice that if we change any element in Σ_0 to its inverse, we do not change the minimal generating property of Σ_0 . We now construct a set Σ_r from Σ_0 by changing part of its elements to their inverses in the following way: For every element $\sigma \in \Sigma_0$, if $e_i(\sigma) = 0$ for all i , then we keep σ in Σ_r , if this is not true, assume that i is the smallest integer such that $e_i(\sigma) \neq 0$, then if $e_i(\sigma) > 0$, we keep σ in Σ_r , otherwise change σ to σ^{-1} . We know that Σ_r is still a minimal generating subset of $\overline{\Sigma}'$ and for every element $\sigma \in \Sigma_r$, either $e_i(\sigma) = 0$ for all i and by the assumption $\sigma = \tau$, or

$e_i(\sigma) > 0$ if i is the smallest integer such that $e_i(\sigma) \neq 0$. We claim that Σ_r is a reduced generating subset.

Suppose not, then there is a reduced word $w = \sigma_1 \cdot \sigma_2 \cdots \sigma_k$ in elements of Σ_r which is trivial. We have $\sum_{j=1}^k e_i(\sigma_j) = e_i(w) = 0$ for each i . If $e_i(\sigma_j) = 0$ for all $i \leq n, j \leq k$, then $\sigma_j = \tau$ for $j = 1, 2, \dots, k$ and therefore $w = \tau^k$, then the lift of w can not be 1, impossible. Assume this is not true, i is the smallest integer such that $e_i(\sigma_j) \neq 0$ for some $j \leq k$, then $e_i(\sigma_j) > 0$ and $e_i(\sigma_l) \geq 0$ for all $l \leq k$, so $e_i(w) > 0$, this is a contradiction. \diamond

Assume r is the following relation of two finite closed subtrees I_1 and I_2 of T : One of I_1, I_2 consists of a single point which is an end point of the other. If I_1, I_2 have the relation r , we write $r(I_1, I_2)$.

Example 3.3: Assume that there is a reduced generating subset Σ_r satisfies the following properties: For every pair of elements σ, τ of Σ_r such that $\sigma \neq \tau$, we have $r(D(\sigma), D(\tau))$ if $D(\sigma) \cap D(\tau) \neq \emptyset$, and $r(R(\sigma), R(\tau))$ if $R(\sigma) \cap R(\tau) \neq \emptyset$. Then (DF) is true for the action $T \times G \rightarrow T$.

Proof: Assume the action $T \times G \rightarrow T$ is free. Suppose $\Sigma_r = \{\sigma_1, \sigma_2, \dots, \sigma_m\}$. The domain and the range of σ_i are denoted by D_i and R_i for each $i \leq m$. Set $\mathcal{K} = \Sigma_r \cup (\Sigma_r)^{-1} = \{\sigma_1, \dots, \sigma_m, \sigma_1^{-1}, \dots, \sigma_m^{-1}\}$.

Set $D = \bigcup_{i=1}^m D_i$, $R = \bigcup_{i=1}^m R_i$ and $\hat{D} = Q' - D$, $\hat{R} = Q' - R$, and $X = E(\hat{D}) \cup E(\hat{R})$. Then from the assumptions, for each i , $E(D_i) \cup E(R_i) \subset X$.

Also, let $\Sigma_s = \{\sigma_i | i \leq m, |D_i| = |R_i| = 0\}$. Assume $w = \tau_1 \cdot \tau_2 \cdots \tau_n$ is a word in elements of \mathcal{K} , if there is an $i \leq n$ such that $\tau_i \in \Sigma_s$, then we say that w **intersects** Σ_s .

Define $\sigma: D \rightarrow R$ be such that its restriction to each D_i is σ_i . σ is multivalued at intersections of some domains. Every such intersection consists of a single point which belong to X , so σ is well defined (i.e. has a single value) in the interior of each domain D_i . Similarly, σ^{-1} is defined on R and is well defined in the interior of each range R_i .

According to Theorem 2.5, it is enough to prove that for every $u \in \bar{Y}_0 \subset Q'$, $(u)\bar{P}'$ is a finite set. Now, fix a point $u \in \bar{Y}_0$. Assume that \mathcal{F}_u is the space of finite reduced word w in elements of \mathcal{K} such that $u \in D(w)$. Then $(u)\bar{P}' = (u)\mathcal{F}_u$, because Σ_r is a generating subset of $\bar{\Sigma}'$. It is enough to prove that $\#\mathcal{F}_u < \infty$ for every $u \in Q'$.

Suppose $\tau \in \mathcal{K}$, for simplicity we say that $\tau = \sigma$, if $\tau \in \{\sigma_1, \dots, \sigma_m\}$, and $\tau = \sigma^{-1}$, if $\tau \in \{\sigma_1^{-1}, \dots, \sigma_m^{-1}\}$.

Suppose $w = \tau_1 \cdot \tau_2 \cdots \tau_n$ with each $\tau_i \in \mathcal{K}$, if there is an i such that either (a), $\tau_i = \sigma, \tau_{i+1} = \sigma^{-1}$, or (b) $\tau_i = \sigma^{-1}, \tau_{i+1} = \sigma$, then $R(\tau_i) \cap R(\tau_{i+1}^{-1}) \neq \emptyset$, so either $R(\tau_i)$ or $D(\tau_{i+1}) = R(\tau_{i+1}^{-1})$ consists of a single point $v \in X$, we say that w has a **negative turn** at v in case (a), and a **positive turn**

at v in case (b).

Lemma 3.4: *Assume $w = \tau_1 \tau_2 \cdots \tau_n$ is a reduced word in elements of \mathcal{K} , if w has a turn, then σ_w fixes no point in Q' .*

Proof: If w has a turn, then one of τ_i 's must belong to Σ_s , by Lemma 3.1, σ_w can not fix any point of Q' . \diamond

According to Lemma 3.4, a reduced word w in elements of \mathcal{K} can not have three turns at the same point, so it can only have at most $2\#X < \infty$ turns. If a word w has no turn, it is called a **straight word**. For every point $u \in Q'$, let $\hat{\mathcal{F}}_u^+$ be the subset of \mathcal{F}_u consists of straight words in elements of Σ_r , $\hat{\mathcal{F}}_u^-$ be the subset of \mathcal{F}_u consists of straight words in elements of Σ_r^{-1} and $\hat{\mathcal{F}}_u$ be the union of $\hat{\mathcal{F}}_u^+$ and $\hat{\mathcal{F}}_u^-$.

Lemma 3.5: *The following two statements are equivalent:*

(a) $\#\mathcal{F}_u < \infty$ for every $u \in Q'$.

(b) $\#\hat{\mathcal{F}}_u < \infty$ for every $u \in Q'$.

Proof: The proof of (a) \implies (b) is trivial.

(b) \implies (a): If two words w and w' in \mathcal{F}_u have exactly the same positive and negative turns in the same order, assume they have the last turn at $v \in X$, then by Lemma 3.4, the subwords of w and w' before this last turn are the same and the subwords of them after this last turn both belong to $\hat{\mathcal{F}}_v$. Because $\#\hat{\mathcal{F}}_v < \infty$ for every $v \in X$ and $\#\hat{\mathcal{F}}_u < \infty$, we have $\#\mathcal{F}_u < \infty$. \diamond

Now, Let us prove that for every point $u \in Q'$, $\#\hat{\mathcal{F}}_u^+ < \infty$ and $\#\hat{\mathcal{F}}_u^- < \infty$.

Suppose $u \in D$, if there is a composition $\sigma_{i_1} \sigma_{i_2} \cdots \sigma_{i_k}$ (with each $\sigma_{i_j} \in \Sigma_r$) defined at u , we say that σ^k is defined at u and we write $(u)\sigma^k$ for $(u)\sigma_{i_1} \sigma_{i_2} \cdots \sigma_{i_k}$. Note $(u)\sigma^k$ may have more than one values. If S is a subset of Q' , $(S)\sigma^k$ is defined as $\{(u)\sigma^k | u \in S \cap D, \sigma^k \text{ is defined at } u\}$. $(u)\sigma^{-k}$ and $(S)\sigma^k$ are similarly used for $u \in D$ and $S \subset Q'$.

Lemma 3.6: *Assume $u \in Q'$, w is a word in elements of $\overline{\Sigma}''$ and u is fixed by w . Then*

(a) *The lift of w is 1.*

(b) *w can not be a nonempty word in elements of Σ_r , i.e. $\sigma_w \neq \sigma^k$ for any positive integer k .*

Proof: (a) Suppose $w = \tau_1 \tau_2 \cdots \tau_k$ with $\tau_i \in \overline{\Sigma}''$ for each i and $v \in T_0$ is such that $\bar{v} = u$. Assume the lift of τ_i is $g_i \in G''$, by Lemma 2.1 (b), there is a unique set of elements $\{s_1, s_2, \dots, s_k\} \subset G'$ such that if $h = s_1 g_1 \cdots s_k g_k$, then $(v, h) \in \hat{S}$ and $\overline{v \cdot h} = (u)\sigma_w = u = \bar{v}$. Since the action $T \times G \rightarrow T$ is free, we must have $h \in G'$. This implies that $g_1 g_2 \cdots g_k = 1$.

(b) Suppose w is a word in elements of Σ_r . Assume $v \in T_0$, $g_i \in G''$ and $s_i \in G'$ for $i = 1, 2, \dots, k$

are as in (a). Assume that $s_j = 1$ for all $j \geq 2$, then $v \cdot s_1$ satisfies the property $P(w)$, so w is trivial. Assume there is a $j \geq 2$ such that $s_j \neq 1$, we may assume that for any $l < j, l \geq 2, s_l = 1$, then $g_1 g_2 \cdots g_{j-1} = 1$, so the reduced word $\tau_1 \cdot \tau_2 \cdots \tau_{j-1}$ is trivial, this is impossible since Σ_r is a reduced generating subset of $\overline{\Sigma}'$. \diamond

Set $I_0 = \hat{D}$, $I_{k+1} = \cup_{i=1}^m \{(I_k)\sigma_i^{-1} | \sigma_i \notin \Sigma_s\}$.

Lemma 3.7: *If $i, j \geq 0$ and $i \neq j$, then $(I_i)^\circ \cap (I_j)^\circ = \emptyset$.*

Proof: Suppose this is not true, assume i is the smallest integer such that $(I_i)^\circ \cap (I_j)^\circ \neq \emptyset$ for some integer $j > i$. Then i must be 0, otherwise $(I_{i-1})^\circ \cap (I_{j-1})^\circ \neq \emptyset$. But $I_j \subset D$ which does not intersect $I_0 = \hat{D}$, this is a contradiction. \diamond

Assume I is a subset of Q' , define $l(I)$ to be the minimum total measure of nondegenerate components of I if I has one, and take $l(I)$ to be 0 if I has no nondegenerate component.

Since X is a finite set, there is an positive integer n such that $X \cap (I_i)^\circ = \emptyset$ for every $i \geq n$.

Lemma 3.8: *Assume n is such an integer that $\cup_{i=n}^\infty (I_i)^\circ \cap X = \emptyset$, then for every $i \geq n$, we have $l(I_i) \geq l(I_n)$ or $l(I_i) = 0$.*

Proof: This can be proved by induction on i . Suppose J is a nondegenerate component of I_i , because $X \cap J^\circ = \emptyset$, either $J^\circ \subset \hat{R}$ or $J^\circ \subset R$ so that $J \subset R$ since R is closed. Then if $\sigma_i \notin \Sigma_s$ and $J \cap R_i \neq \emptyset$, $(J)\sigma_i^{-1}$ either consists of one or two points or is isomorphic to J , therefore either $|(J)\sigma_i^{-1}| = 0$ or $|(J)\sigma_i^{-1}| = |J|$. Compared with I_i , I_{i+1} has no nondegenerate component of smaller total measure, so we have $l(I_{i+1}) \geq l(I_i) \geq l(I_n)$ if $l(I_{i+1}) \neq 0$. \diamond

Lemma 3.9: *There is an integer $n > 0$ such that for any $k \geq n$, $l(I_k) = 0$.*

Proof: Suppose $l(I_i) \neq 0$ for all $i \geq 0$, then by Lemma 3.8, there is a positive number λ such that $|I_k| \geq \lambda$ for every $k \geq 0$. By lemma 3.7, $(I_i)^\circ \cap (I_j)^\circ = \emptyset$ if $i \neq j$, so for any $n \geq 0$ we have $n\lambda \leq \sum_{i=1}^n |I_i| \leq |Q'|$. But $|Q'| < \infty$, this is impossible.

Suppose there is an $n > 0$ such that $l(I_n) = 0$, then $l(I_i) = 0$ for all $i \geq n$. \diamond

Suppose \overline{X} is the set of end points of all the open ends of I_i for $i \geq 0$, \overline{X} is a finite set.

Lemma 3.10: *If $u \in \overline{X} \cap R_i$, then $(u)\sigma_i^{-1} \in \overline{X}$.*

Proof: If $\sigma_i \in \Sigma_s$, then $(u)\sigma_i^{-1} \in E(D_i) \subset \overline{X}$. Assume $\sigma_i \notin \Sigma_s$, suppose u is an end point of J which is a component of I_k for some $k \geq 0$, with the corresponding end open. Suppose the only direction in $D(u, J)$ is not in R_i , since we assumed that $u \in R_i$, we have $u \in E(R_i)$ and then $(u)\sigma_i^{-1} \in E(D_i) \subset \overline{X}$. If this direction is in R_i , then it is carried by σ_i^{-1} to a direction in $D((u)\sigma_i^{-1}, (J)\sigma_i^{-1})$ and $(u)\sigma_i^{-1}$ is the end point of a component of $(J)\sigma_i^{-1}$ with the corresponding end open. Clearly $(J)\sigma_i^{-1} \subset I_{k+1}$, so $(u)\sigma_i^{-1} \in \overline{X}$. \diamond

Lemma 3.11: *If for some $k > 0$, I_k has a component J of 0 total measure, then it must consist of a single point u , with $u \in \overline{X}$.*

Proof: There is a sequence $\{J_0, J_2, \dots, J_k = J\}$ of closed subtrees such that J_j is a component of I_j and $J_{j+1} \subset (J_j)\sigma_{i_j}^{-1}$ for some $\sigma_{i_j} \in \Sigma_r - \Sigma_s$. Notice that $|J_{j+1}| \leq |J_j|$, since $|J_0| > 0$, there is a $j \geq 0$ such that $|J_j| > 0$, $|J_{j+1}| = 0$. Then $|J_j \cap R_{i_j}| = 0$, so $J_j \cap R_{i_j} \subset E(R_{i_j})$. If $J_{i+1} = \{v\}$, then $v \in (E(R_{i_j}))\sigma^{-1} = E(D_{i_j}) \subset \overline{X}$. By Lemma 3.10, $u \in \overline{X}$. \diamond

For every point $u \in Q'$, let $(\hat{\mathcal{F}}_u^+)_0$ be the subset of $\hat{\mathcal{F}}_u^+$ consists of all the words which do not intersect Σ_s . The subset $(\hat{\mathcal{F}}_u^-)_0$ of $\hat{\mathcal{F}}_u^-$ is defined in the same way. Because for every point $v \in Q'$, there is at most one $\sigma_i \in \Sigma_r - \Sigma_s$ which is defined at v , it can be seen that for any positive integer n , there is at most one word in $(\hat{\mathcal{F}}_u^+)_0$ whose word length is n , if $w, w' \in (\hat{\mathcal{F}}_u^+)_0$ and w has longer word length than that of w' , then w' is a subword of w . The same is true for words in $(\hat{\mathcal{F}}_u^-)_0$.

Lemma 3.12: *For every $u \in \overline{X}$, there is an integer k such that all the words in $(\hat{\mathcal{F}}_u^-)_0$ have word length less than k .*

Proof: Suppose this is not true for a point $u \in \overline{X}$, then $\#(\hat{\mathcal{F}}_u^-)_0 = \infty$. Set $U = \{(u)\sigma_w \mid \in (\hat{\mathcal{F}}_u^-)_0\}$, then by Lemma 3.6 (b), $(u)\sigma_w \neq (u)\sigma_{w'}$ if $w, w' \in (\hat{\mathcal{F}}_u^-)_0$ and $w \neq w'$, so $\#U = \infty$. According to Lemma 3.10, $U \subset \overline{X}$, but \overline{X} is a finite set, this is impossible. \diamond

Lemma 3.13: *There is an integer $n > 0$ such that $I_n = \emptyset$.*

Proof: Suppose n' is such a number that for every $i \geq n'$ $l(I_k) = 0$, as in Lemma 3.9. Then I'_n consists of several single element components, i.e. $I'_n = \cup_{j=1}^l \{u_j\}$. By Lemma 3.11 and Lemma 3.12, for each u_j , there is an integer $n_j > 0$ such that all the words in $(\hat{\mathcal{F}}_{u_j}^-)_0$ have word length less than n_j . Take $n = n' + \max\{n_j \mid 0 \leq j \leq l\}$, then it is easy to see that $I_n = \emptyset$. \diamond

Set $M = \bigcup_{k=0}^{\infty} I_k$, $K = Q' - M$. Then by Lemma 3.13, M consists of finitely many components, so is K if it is not empty. We have $(K)\sigma_w \subset K$ if $w \in (\hat{\mathcal{F}}_u^+)_0$.

Lemma 3.14: $|K| = 0$.

Proof: Suppose this is not true. Assume J is a component of K of maximum total measure then $|J| > 0$. Because $J \subset Q' - I_0 = Q' - \hat{D}$, $J \subset D_i$ for some $\sigma_i \in \Sigma_r - \Sigma_s$. Then $(J)\sigma_i$ is isomorphic to J , so it is also a component of K of maximum total measure. Consequently, for every $i \geq 0$, there is a word $w_i \in (\hat{\mathcal{F}}_u^+)_0$ whose word length is i such that $J \subset D(w_i)$ and $(J)\sigma_{w_i}$ is a component of K of maximum total measure. But K consists of finitely many components, so there is a pair of integers n, l such that $n \neq l$ and the two components $(J)\sigma_{w_n}$ and $(J)\sigma_{w_l}$ have nonempty intersection. Then these two components must equal to each other. We may assume that $n = 0$, then $(J)\sigma_{w_l} = J$. $\sigma_{w_l}|_{E(J)}$ is a permutation with $E(J)$ being a finite set, so there is an integer p such that $\sigma_{w_l}^p$ has a fixed point u in $E(J)$. Then $(u)\sigma^{lp} = u$, contradicting Lemma 3.6 (b), impossible. \diamond

Lemma 3.14 implies that $K = \{k_1, k_2, \dots, k_t\}$ for some integer t .

Proposition 3.15: *For every point $u \in Q'$, we have $\#(\hat{\mathcal{F}}_u^+) < \infty$.*

Proof: If $u \in I_0 = \hat{D}$, then $(\hat{\mathcal{F}}_u^+) = \emptyset$. If $u \in I_k$, then there is a word $w \in (\hat{\mathcal{F}}_u^+)_0$ whose word length is k such that $(u)\sigma_w \in I_0 = \hat{D}$, then $(\hat{\mathcal{F}}_u^+)_0$ contains no word of length greater than k . So $\#(\hat{\mathcal{F}}_u^+) < \infty$. Suppose $u \in K$, then $(u)(\hat{\mathcal{F}}_u^+) \subset K$. By Lemma 3.4, if $w, w' \in (\hat{\mathcal{F}}_u^+)_0$ and $w \neq w'$, then $(u)\sigma_w \neq (u)\sigma_{w'}$, because $\#K < \infty$, we have $\#(\hat{\mathcal{F}}_u^+) < \infty$. \diamond

For each $i \leq m$ such that $\sigma_i \in \Sigma_s$, we denote the unique point in R_i by p_i . Fix a point $u \in Q'$, if there is a word $w = \tau_1\tau_2 \cdots \tau_k \in \hat{\mathcal{F}}_u^+$ such that $\tau_k = \sigma_i$ for some $\sigma_i \in \Sigma_s$, then by Lemma 3.2, such word is unique, denote this unique word by w_i .

Proposition 3.16: *For every point $u \in Q'$, we have $\#\hat{\mathcal{F}}_u^+ < \infty$.*

Proof: If $\sigma_i \in \Sigma_s$ for some $i \leq m$, define $H_i = \{w = \tau_1 \cdots \tau_k \in \hat{\mathcal{F}}_u^+ | \exists j \leq k \text{ such that } \tau_j = \sigma_i, \tau_l \notin \Sigma_s \text{ if } j < l \leq k\}$.

If $w \in H_i$, $\tau_j = \sigma_i$ is as above, then $\tau_1\tau_2 \cdots \tau_j = w_i$ and $\tau_{j+1}\tau_{j+2} \cdots \tau_k \in (\hat{\mathcal{F}}_{p_i}^+)_0$, therefore, it is easy to see that $\#H_i \leq \#(\hat{\mathcal{F}}_{p_i}^+) < \infty$. Because $\hat{\mathcal{F}}_u^+ = (\hat{\mathcal{F}}_u^+)_0 \cup \bigcup_{\sigma_i \in \Sigma_s} H_i$ we have $\#\hat{\mathcal{F}}_u^+ \leq \#(\hat{\mathcal{F}}_u^+)_0 + \sum_{\sigma_i \in \Sigma_s} \#H_i < \infty$. \diamond

Because the conditions on σ , D and on σ^{-1} , R are symmetric, we also have:

For any point $u \in Q'$, $\#\hat{\mathcal{F}}_u^- < \infty$.

Then $\#\hat{\mathcal{F}}_u < \infty$ for every point $u \in Q'$ and therefore, the action $T \times G \rightarrow T$ is discrete. This completes the proof of this example. \diamond

Example 3.17: If there is a reduced generating subset Σ_r of $\overline{\Sigma}$ such that $\#\Sigma_r = 1$, then the action $T \times G \rightarrow T$ satisfies Property (DF).

Proof: This can be easily proved by applying Example 3.3. \diamond

Remark: By symmetry we have $Q'' = T''/G''$, $\phi'': T_0 \rightarrow Q''$, $\overline{\Sigma}''$ and \overline{P}'' etc. All the results in Section 2 and 3 remain true if we replace Q' , ϕ' , $\overline{\Sigma}$ and \overline{P}' by Q'' , ϕ'' , $\overline{\Sigma}''$ and \overline{P}'' .

4. Applications to actions by the free group of rank 3

In this Section, we focus on minimal actions of $G = F_3$ (the free group of rank 3) on an \mathbf{R} -tree T . We provide examples of actions which satisfy the Property (DF) or which are not free.

Assume that $\{x, y, z\}$ is a free basis of G , i.e. $G = F(x, y, z)$. Take $G' = F(x, y)$, $G'' = F(z)$. We may assume that $|A_x \cap A_y| < \min\{\tau(x), \tau(y)\}$, where $\tau(\)$ is the translation length function for the action $T \times G \rightarrow T$ (cf. Part 1, page 4).

As before, T', T'' are the minimal invariant subtrees of G', G'' respectively. From the materials of Part 1 (page 4-5) we know that $Q' = T'/G'$ is one of the following:

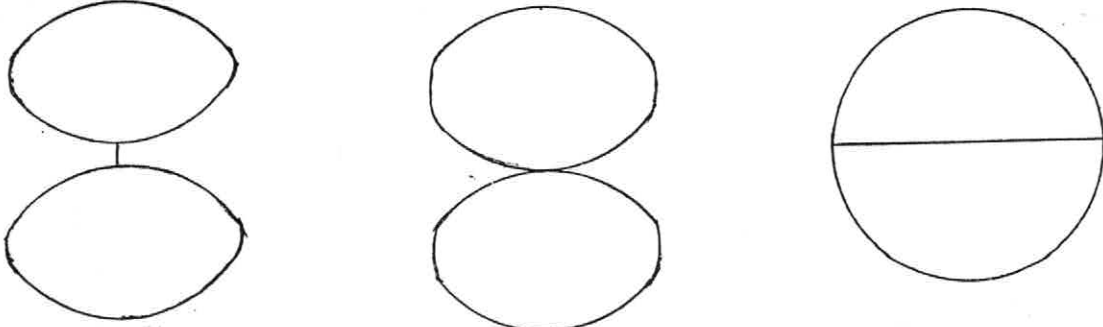


Fig. 1.

T'' is the axis A_z , so Q'' is just a circle. Since $T_0 \subset A_z$, we have $T_0 = [p, q]$ for some $p, q \in A_z$. We assume further that the direction represented by the arrow from p to q is the direction of A_z .

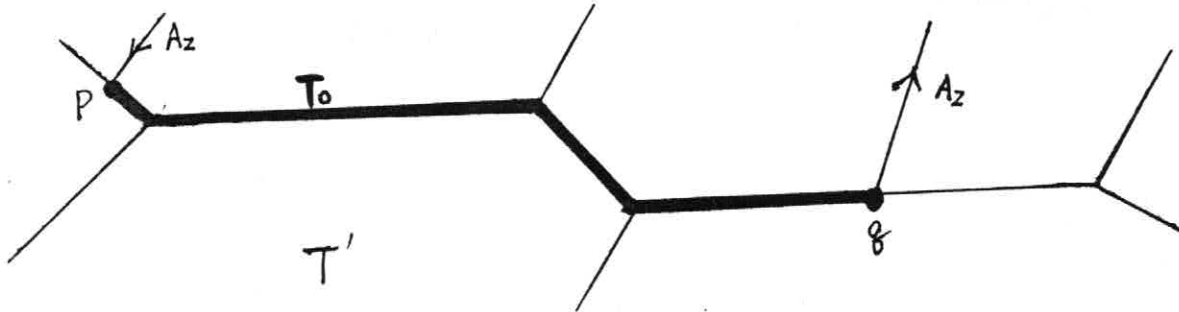


Fig. 2.

Example 4.1: Assume there is an element $g \in G'$, such that $|T_0 \cap A_g| \geq \tau(z) + \tau(g)$, then the action $T \times G \rightarrow T$ is not free.

Proof: Because $T_0 \subset A_z$, $|A_z \cap A_g| \geq \tau(z) + \tau(g)$ so there is a point $u \in A_z \cap A_g$ which is fixed by the commutator $zgz^{-1}g^{-1}$. ◊

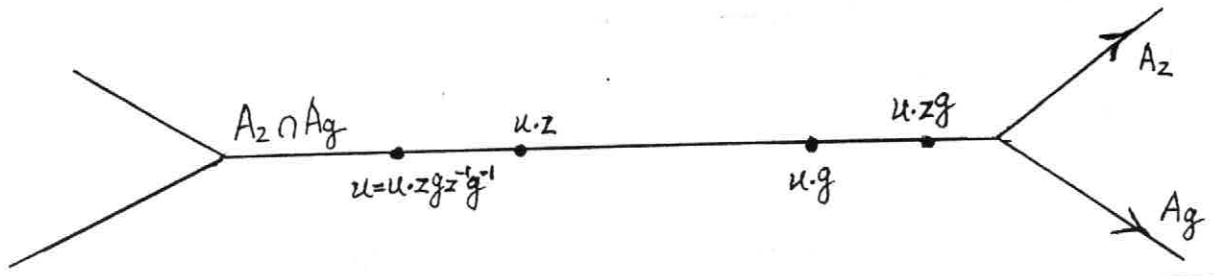


Fig. 3.

Example 4.2: Set $\omega = T_0 \cap T_0 \cdot z^{-1}$, assume that ω and $\omega \cdot z$ are both embedded into Q' by ϕ' , then the action $T \times G \rightarrow T$ satisfies (DF).

Proof: We see that $\omega = D_z$ and $\omega \cdot z = R_z$. For any integer $m \neq 0$, D_{z^m} and R_{z^m} are contained in ω and $\omega \cdot z$ respectively, so they are embedded into Q' by ϕ' . Then $\overline{\Sigma}' = \{\overline{\sigma}_{z^m} \mid D_{z^m} \neq \emptyset\}$. Since we only have finitely many m such that $D_{z^m} \neq \emptyset$, $\overline{\Sigma}'$ is a finite set. For any positive integer n , set $w_n = (\overline{\sigma}_z)^n$, then $\overline{\sigma}_{z^n} = \sigma_{w_n} \mid_{\overline{D_{z^n}}}$. Therefore $\{\overline{\sigma}_z\}$ is a reduced generating subset of $\overline{\Sigma}'$. Applying Example 3.16, we see that (DF) is true for the action $T \times G \rightarrow T$.

Example 4.3: If $T_0 \subset A_g$ for some element $g \in G' - \{1\}$ such that \overline{A}_g is a loop (i.e. a subspace homeomorphic to a circle) in Q' (this is true for example when g is conjugate to x or y), then (DF) is true for the action $T \times G \rightarrow T$.

Proof: Assume $T_0 \subset A_g$ for some $g \in G' - \{1\}$. By assumption, \overline{A}_g is a loop in Q' , its circumference c is a number dividing the translation length $\tau(g)$ of g . There is an element $h \in G' - \{1\}$ and there are points $u, v \in A_g$ such that $u \cdot h = v$ and $\text{dis}(u, v) = c$. It is clear that $[u, v] \subset A_h$ and $c = \tau(h)$. Then $\overline{T_0} \subset \overline{A}_g = \overline{[u, v]} = \overline{A}_h$.

There is an element $s \in G'$ such that $T_0 \cdot s \cap A_h \neq \emptyset$. Suppose $T_0 \cdot s \not\subset A_h$, then there is a point $u \in E(T_0 \cdot s \cap A_h)$ such that $D(u, T_0 \cdot s) - D(u, A_h) \neq \emptyset$. Assume $t \in D(u, T_0 \cdot s) - D(u, A_h)$, since $D(\overline{u}, \overline{T_0 \cdot s}) \subset D(\overline{u}, \overline{A}_h)$, we have $\overline{t} \in D(\overline{u}, \overline{A}_h) = \phi'(D(u, A_h))$. But ϕ' maps T' to Q' locally isometrically, this is impossible. Therefore $T_0 \cdot s \subset A_h$. Then $T_0 \subset A_h \cdot s^{-1} = A_{s h s^{-1}}$.

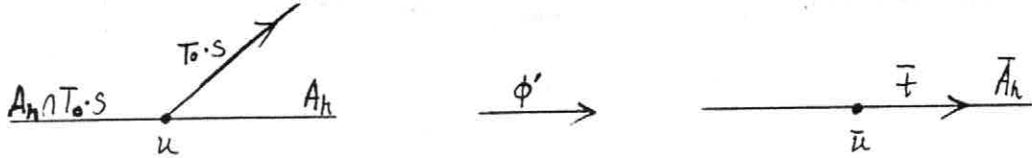


Fig. 4.

If $|T_0| \geq \tau(z) + c = \tau(z) + \tau(s h s^{-1})$, then by Example 4.1, the action $T \times G \rightarrow T$ is not free. Assume $|T_0| < \tau(z) + c$, then $|\omega| = |\omega \cdot z| = |T_0| - \tau(z) < c$. So ω and $\omega \cdot z$ are embedded into $\overline{A}_g = \overline{A}_h$, therefore into Q' . Applying Example 4.2, we see that the action $T \times G \rightarrow T$ satisfies the Property (DF). \diamond

Example 4.4: If there is an element $g \in G'$ such that $|D_g| \geq \tau(z)$ then the action $T \times G \rightarrow T$ is not free.

Proof: We know that σ_g is a translation or a reflection restricted to D_g . If $|D_g| \geq \tau(z)$, then there is a point $u \in D_g$ such that $u \cdot z \in D_g$. We have $(u \cdot z)\sigma_g = (u)\sigma_g \cdot z \in R_g$, if σ_g is a translation, and $(u \cdot z)\sigma_g \cdot z = (u)\sigma_g \in R_g$, if σ_g is a reflection. So we have either $u \cdot z g z^{-1} g^{-1} = u$ or $u \cdot z g z g^{-1} = u$, i.e. u is a fixed point. \diamond



Fig. 5.

According to the Example 8.1 of Part 1, if $|T_0| < \tau(z)$, then the action $T \times G \rightarrow T$ is free and discrete. In view of this and Example 4.4, we can make the following

Assumption 4: $|T_0| \geq \tau(z)$.

Assumption 5: For each $g \in G'$, we have $|D_g| < \tau(z)$.

From Assumption 5, D_g, R_g are embedded into G'' by ϕ'' for each $g \in G'$ then

$$\Sigma'' = \{\bar{\sigma}_g | g \in G', D_g \neq \emptyset\}$$

which is a finite set since $|T_0| < \infty$.

Recall that in Section 2 we defined a relation r for two closed subtrees I and J as follows: $r(I, J)$ if and only if one of them consists of a single point, which is an endpoint of the other.

Assume σ, τ and θ are elements of $\bar{\Sigma}''$, $\sigma = \tau\theta$, then τ is called a **f-factors** of σ , and θ a **t-factor** of σ .

Example 4.5: Assume that any pair of elements $\sigma, \tau \in \bar{\Sigma}''$, $\sigma \neq \tau$ satisfy the following properties: if $D(\sigma) \cap D(\tau) \neq \emptyset$, then $r(D(\sigma), D(\tau))$ or σ, τ are f-factors of each other. If $D(\sigma) \cap D(\tau) \neq \emptyset$ and $R(\sigma) \cap R(\tau) \neq \emptyset$ then $r(D(\sigma), D(\tau))$ and $r(R(\sigma), R(\tau))$ or $\sigma = \tau$. Then the action $T \times G \rightarrow T$ satisfies (DF).

Corollary: If domains of any pair of elements of $\bar{\Sigma}''$ are disjoint or have the relation r , then (DF) is true for the action $T \times G \rightarrow T$.

Proof: We assume that the action $T \times G \rightarrow T$ is free.

According to Example 3.3, we only have to construct a reduced generating subset Σ_r satisfying the following properties: for $\sigma, \tau \in \Sigma_r$, $\sigma \neq \tau$, we have $r(D(\sigma), D(\tau))$ if $D(\sigma) \cap D(\tau) \neq \emptyset$ and $r(R(\sigma), R(\tau))$ if $R(\sigma) \cap R(\tau) \neq \emptyset$. To this end, we need the following three lemmas:

Lemma 4.6: (a) If $w = \sigma_1\sigma_2 \cdots \sigma_k$ is any word in elements of $\bar{\Sigma}''$, $D(w) \neq \emptyset$, $D(\sigma_i)$ is nondegenerate for each $i \leq k$, then $D(w) = D(\sigma_1)$ and σ_w is either an element of $\bar{\Sigma}''$ or the identity map on $D(\sigma_1)$.

(b) For every element $\sigma \in \overline{\Sigma}''$, we have that $D(\sigma) \cap R(\sigma) = \emptyset$ and $D(\sigma^2) = \emptyset$.

(c) Suppose σ, τ are two elements of $\overline{\Sigma}''$, if $R(\sigma) \cap R(\tau) \neq \emptyset$, then either $r(R(\sigma), R(\tau))$ or σ, τ are t -factors of each other.

(d) w is as in (a), then $R(w) = R(\sigma_k)$.

Proof: (a) Suppose that $\sigma, \tau \in \overline{\Sigma}''$ be such that $D(\sigma)$ and $D(\tau)$ are both nondegenerate and $D(\sigma\tau) \neq \emptyset$, then $D(\sigma^{-1}) \cap D(\tau) \neq \emptyset$. Suppose $\sigma^{-1} \neq \tau$, since $r(D(\sigma^{-1}), D(\tau))$ can not be true, by the assumption, there is an element $\theta \in \overline{\Sigma}''$ such that $\tau = \sigma^{-1}\theta$. Because $D(\tau)$ is nondegenerate, so is $D(\theta)$. Then $\sigma\tau = \sigma\sigma^{-1}\theta = \theta|_{D(\sigma)}$. Because $D(\tau) \neq \emptyset$, $D(\theta) \cap D(\sigma) \neq \emptyset$, then we deduce as before that σ and θ are f -factors of each other, so they have the same domain, therefore $\sigma\tau = \theta$ is an element of $\overline{\Sigma}''$. If $\sigma = \tau^{-1}$, then $\sigma\tau$ is the identity map on $D(\sigma)$. From the above discussion, we can prove (a) by induction on the word length of w .

(b) Suppose there is an element $\sigma \in \overline{\Sigma}''$ such that $D(\sigma) \cap R(\sigma) \neq \emptyset$, if $D(\sigma)$ consists of one point, then $D(\sigma) = R(\sigma)$, so σ fixes the only point in its domain, this is impossible. Assume that $D(\sigma)$ is nondegenerate, then $D(\sigma)$ and $R(\sigma)$ do not have the relation r , by the conditions of this example, $\sigma = \sigma^{-1}$, therefore σ^2 is the identity map on $D(\sigma)$. Because the lift of σ^2 is not trivial, this is impossible by Lemma 3.6 (a). So, $D(\sigma) \cap R(\sigma) = \emptyset$ and consequently, $D(\sigma^2) = \emptyset$.

(c) The condition implies that $D(\sigma^{-1}) \cap D(\tau^{-1}) \neq \emptyset$. By the assumption of this example, either $r(D(\sigma^{-1}), D(\tau^{-1}))$, i.e. $r(R(\sigma), R(\tau))$ or σ^{-1} and τ^{-1} are f -factors of each other, then σ and τ are t -factors of each other.

(d) According to (c), the ranges of elements of Σ_k satisfy the same conditions for the domains.

(d) is proved similar to (a). \diamond

Lemma 4.7: Any minimal generating subset Σ_0 of $\overline{\Sigma}''$ is a reduced generating subset.

Proof: Assume we have a minimal generating subset Σ_0 of $\overline{\Sigma}''$ which is not a reduced generating subset. Then we have a reduced trivial word $w = \sigma_1\sigma_2 \cdots \sigma_k$ in element of Σ_0 .

Because w is trivial, σ_w fixes a point in its domain. According to Lemma 3.1, $D(\sigma_i)$ is nondegenerate for $i \leq k$. We claim that $\sigma_i \neq \sigma_j$ if $i \neq j$.

Proof of the claim: Suppose there are integers $i < j$ such that $\sigma_i = \sigma_j$. We may assume there is no integer l between i and j with $\sigma_l = \sigma_i = \sigma_j$. If $j = i + 1$, then $D(w) = \emptyset$ since according to Lemma 4.6 (b), $D(\sigma_i^2) = \emptyset$, this contradicts the assumption. Assume $j > i + 1$, by Lemma 4.6 (a), if $w' = \sigma_{i+1} \cdot \sigma_{i+2} \cdots \sigma_{j-1}$, then $D(w') = D(\sigma_{i+1})$ is nondegenerate and $\tau = \sigma_{w'}$ is an element of $\overline{\Sigma}''$ or it is the identity map on $D(\sigma_{i+1})$.

Assume $\sigma_{w'} \in \overline{\Sigma}''$, since $w = \cdots \sigma_i \cdot w' \cdot \sigma_j \cdots$ has nonempty domain, $D(\sigma_i) \cap D(\tau^{-1}) = D(\sigma_j) \cap D(\tau^{-1}) \neq \emptyset$, and $R(\sigma_i) \cap R(\tau^{-1}) \neq \emptyset$, therefore $\sigma_i = \tau^{-1} = \sigma_{j-1}^{-1} \cdots \sigma_{i+1}^{-1}$, but we assumed

that Σ_0 is a minimal generating subset of $\overline{\Sigma}''$, this is impossible. Suppose τ is the identity map on $D(\sigma_{i+1})$, then $D(\sigma_i \tau \sigma_j) \subset D(\sigma_i^2) = \emptyset$, this is impossible. So the claim is true.

Set $w_0 = \sigma_1 \cdot \sigma_2 \cdots \sigma_{k-1}$. Since w is a trivial word, the lift of w_0 can not be trivial, then by Lemma 3.6 (a), σ_{w_0} can not be an identity map. According to Lemma 4.6 (a), σ_{w_0} is an element of $\overline{\Sigma}''$ and $D(w_0) = D(\sigma_1)$. Since w fixes a point of its domain, we have $D(\sigma_k^{-1}) \cap D(w_0) = R(\sigma_k) \cap D(\sigma_1) \neq \emptyset$. By Lemma 4.6 (d), $R(w_0) = R(\sigma_{k-1})$, then $R(w_0) \cap R(\sigma_k^{-1}) = R(\sigma_{k-1}) \cap D(\sigma_k) \neq \emptyset$. Because all the sets involved are nondegenerate, we must have $\sigma_k^{-1} = \sigma_{w_0}$. Then σ_k is generated by $\sigma_1, \sigma_2, \dots, \sigma_{k-1}$, contradiction the minimal generating property of Σ_0 . This proves that there is no trivial word in elements of Σ_0 , therefore Σ_0 is a reduced generating subset of $\overline{\Sigma}''$. \diamond

Assume $\sigma, \tau \in \overline{\Sigma}''$, $(D(\sigma))^\circ \cap (D(\tau))^\circ \neq \emptyset$, then $D(\sigma)$ and $D(\tau)$ are nondegenerate, so they do not have relation r , therefore, σ, τ are f-factors of each other.

Lemma 4.8: *Assume that $\tau_1, \tau_2, \dots, \tau_k$ and σ are elements of $\overline{\Sigma}''$, then there is an element $\sigma' \in \overline{\Sigma}''$ satisfying the following properties:*

(a) $\sigma = \sigma_w \sigma' \sigma_{w'}$, where w, w' are words in elements of the set $T = \{\tau_i | i = 1, 2, \dots, k\}$, they could be the empty word.

(b) $(D(\sigma'))^\circ \cap (D(\tau_i))^\circ = \emptyset$ and $(R(\sigma'))^\circ \cap (R(\tau_i))^\circ = \emptyset$ for every $i \leq k$.

Proof: If $D(\sigma)$ is a one point set, then we take $\sigma' = \sigma$, w, w' be the empty word. Assume $D(\sigma)$ is nondegenerate. If there is a τ_i such that $(D(\sigma))^\circ \cap (D(\tau_i))^\circ \neq \emptyset$, then $\sigma = \tau_i \sigma_1$ for some element $\sigma_1 \in \overline{\Sigma}''$. Again, if $(D(\sigma_1))^\circ \cap (D(\tau_j))^\circ \neq \emptyset$ for some $\tau_j \in T$, then $\sigma_1 = \tau_j \sigma_2$, for some $\sigma_2 \in \Sigma$. In this way we get $\sigma_1, \sigma_2 \dots$. We claim that there is a nonnegative number m such that no element of T is a f-factor of σ_m (if $m = 0$, $\sigma_m = \sigma$), which is equivalent to the fact that $(D(\sigma_m))^\circ \cap (D(\tau_i))^\circ = \emptyset$ for every $\tau_i \in T$. This claim is clear by the claim of Lemma 4.7, note that T is a finite set. We have $\sigma = \sigma_w \sigma_m$ where w is a word in elements of T .

From Lemma 4.6 (c), we deduce similarly to the above that there is a word w' in elements of T and an element σ' of $\overline{\Sigma}''$ such that $\sigma_m = \sigma' \sigma_{w'}$ and $(R(\sigma'))^\circ \cap (R(\tau_i))^\circ = \emptyset$, for every $\tau_i \in T$. Since no element of T can be a f-factor of σ' , $(D(\sigma'))^\circ \cap (D(\tau_i))^\circ = \emptyset$ for every i . \diamond

Given any minimal generating subset Σ_0 of $\overline{\Sigma}''$, we now construct another one in the following way:

Assume $\Sigma_0 = \{\sigma_j | j = 1, 2, \dots, m\}$. Suppose that for some $i \leq m$, we have chosen a set $T_{i-1} = \{\sigma'_j | j \leq i-1\}$ of elements of $\overline{\Sigma}''$ such that for every $j < i$, there are words w_j, w'_j in elements of the set $T_{j-1} = \{\sigma'_l | l \leq j-1\}$ such that $\sigma_j = \sigma_{w_j} \sigma'_j \sigma_{w'_j}$ and the interiors of domains (ranges resp.) of elements in the set T_{i-1} are disjoint for each other. By Lemma 4.8, there exists an element σ'_i of $\overline{\Sigma}''$ and there are words w_i, w'_i in elements of T_{i-1} such that $\sigma_i = \sigma_{w_i} \sigma'_i \sigma_{w'_i}$ and elements in the set $T_i = \{\sigma'_j | j \leq i\}$ have disjoint interiors of domains and disjoint interiors of ranges. In this way, we

choose σ'_i .

Elements of $T_m = \{\sigma'_j | j \leq m\}$ obviously generate the pseudo-group P'' . We choose a minimal generating subset Σ'_0 of T_m , then Σ'_0 is a reduced generating subset of $\overline{\Sigma}''$ satisfying the conditions of Example 3.3, hence (DF) is true for the action $T \times G \rightarrow T$. \diamond

Remark: the following three conditions are equivalent to each other:

(a) For any $\sigma, \tau \in \overline{\Sigma}''$, $\sigma \neq \tau$, if $D(\sigma) \cap D(\tau) \neq \emptyset$, then $r(D(\sigma), D(\tau))$, or σ and τ are f-factors of each other.

(b) For any $\sigma, \tau \in \overline{\Sigma}''$, $\sigma \neq \tau$, if $R(\sigma) \cap R(\tau) \neq \emptyset$, then $r(R(\sigma), R(\tau))$, or σ and τ are t-factors of each other.

(c) For any $\sigma, \tau \in \overline{\Sigma}''$, $\sigma \neq \tau^{-1}$, if $D(\sigma\tau) \neq \emptyset$, then $r(D(\sigma\tau), D(\sigma))$ and $r(R(\sigma\tau), R(\tau))$, or $\sigma\tau$ is an element of $\overline{\Sigma}''$ and $D(\sigma\tau) = D(\sigma)$.

Therefore in Example 4.5, we may replace the condition (a) by (b) or (c).

Assume that S_1, S_2 are subsets of T_0 , if $S_1 \cdot z^m \cap S_2 \neq \emptyset$ for some integer m , then we write $S_1 \sim_z S_2$.

Example 4.9: Assume for any $\sigma_g, \sigma_h \in \Sigma'$, the following fact is true: if $D_g \sim_z D_h$, then $D_g = D_h$ or $r(D_g, D_h)$. Then the action $T \times G \rightarrow T$ satisfies the Property (DF).

Proof: Assume the action $T \times G \rightarrow T$ is free. Suppose $\overline{\sigma}_g, \overline{\sigma}_h \in \overline{\Sigma}''$ be such that $h \neq g$ and $(\overline{D}_g)^\circ \cap (\overline{D}_h)^\circ \neq \emptyset$, then $D_g \sim_z D_h$, so $D_g = D_h$ since, $r(D_g, D_h)$ can not be true, therefore, $\overline{D}_g = \overline{D}_h$. we have

$$\sigma_{g^{-1}}\sigma_h = \sigma_{g^{-1}h}|_{(D_g \cap D_h)\sigma_g} = \sigma_{g^{-1}h}|_{R_g}$$

and then

$$\overline{\sigma}_{g^{-1}}\overline{\sigma}_h = \overline{\sigma}_{g^{-1}h}|_{\overline{R}_g}$$

We have

$$\overline{\sigma}_h = \overline{\sigma}_h|_{\overline{D}_g} = \overline{\sigma}_g\overline{\sigma}_{g^{-1}}\overline{\sigma}_h = \overline{\sigma}_g\overline{\sigma}_{g^{-1}h}|_{\overline{R}_g} = \overline{\sigma}_g\overline{\sigma}_{g^{-1}h}$$

So $\overline{\sigma}_g$ is a f-factor of $\overline{\sigma}_h$, symmetrically, $\overline{\sigma}_h$ is a f-factor of $\overline{\sigma}_g$.

Next, assume that $(\overline{D}_g)^\circ \cap (\overline{D}_h)^\circ \neq \emptyset$ and $(\overline{R}_g)^\circ \cap (\overline{R}_h)^\circ \neq \emptyset$. Then $(\overline{D}_{g^{-1}})^\circ \cap (\overline{D}_{h^{-1}})^\circ \neq \emptyset$, as before, we have $\overline{D}_{g^{-1}} = \overline{D}_{h^{-1}}$, i.e. $\overline{R}_g = \overline{R}_h$. Then $\overline{\sigma}_{g^{-1}}\overline{\sigma}_h$ maps $\overline{R}_g = \overline{R}_h$ to its self, there must be a fixed point for this partial isometry. If $\overline{\sigma}_g \neq \overline{\sigma}_h$, then $g \neq h$, so $\overline{\sigma}_{g^{-1}}\overline{\sigma}_h$ has nontrivial lift, this contradicts Lemma 3.6 (a), impossible. Hence $\overline{\sigma}_g = \overline{\sigma}_h$.

Now we see that this example is a direct consequence of Example 4.5. \diamond

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